

Patent Technology Centers

Facsimile Transmission

To:

Name:

uspto

Company:

Fax Number:

571-273-8300

Voice Phone:

From:

Name:

Deborah Crouch, Ph.D.

Official Fax Number:

(571) 273-8300

Official After Final Fax Number:

(571) 273-8300

Voice Phone:

(571) 272-0727

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fav	NIA	
-av	IMAN	ΔC.

Date and time of transmission: Tuesday, March 20, 2007 2:45:54 PM

Number of pages including this cover sheet: 12



901 New York Avenue, NW = Washington, DC 20001-4413 = 202.408.4000 = Fax 202.408.4400 www.finnegan.com

FACSIMILE TRANSMITTAL

RECEIVED CENTRAL FAX CENTER

MAR 2 0 2007

<u>TO</u>

Name: Examiner Crouch

Company: US Patent & Trademark Office

Fax Number: 571-273-0727

Phone Number:

Date: March 20, 2007

Subject: APLN 09/394,902

FROM

Name: Salvatore J. Arrigo

Phone Number: 202-408-4160

Fax Number Verified by: K. Smith/MD1109

Total Pages (including cover): ______

Our File No.: 09960.0007-06

Confirmation Copy to Follow: Yes No

Examiner Crouch:

Per our conversation of earlier today, we attach copies of a Transmittal Letter, Revocation of Power of Attorney and Grant of New Power of Attorney, and date-stamped receipt card, showing that these papers were filed in the USPTO on November 30, 2006, in U.S. Application No. 09/394,902.

Salvatore J. Arrigo Registration No. 46,063

RECEIVED
CENTRAL FAX CENTER

mmk 2 0 2007

KJM SJA

38

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: STICE et al.

. Confirmation No. 5113

Application No.: 09/394,902

Group Art Unit: 1632

Filed: September 13, 1999

Examiner: D. Crouch

For:

CLONING PIGS USING DONOR CELLS OR NUCLEI FROM DIFFERENTIATED

CELLS (SOMATIC OR GERM CELLS) AND PRODUCTION OF PLURIPOTENT

PORCINE CELLS BY NUCLEAR TRANSFER

1. Transmittal Letter (1 page)

2. Revocation of Power of Attorney and Grant of New Power of Attorney (2 pages) and

Associated Documents

Dated: November 30, 2006

Docket No.: 9960.0007-06

Atty: S. Arrigo

(Due Date: N/A)

LEASE RETURN TO K. SMITH/MD1109

12/01/04



RECEIVED CENTRAL FAX CENTER

MAR 2 0 2007

PATENT Customer No. 22,852 Attorney Docket No. 9960.0007-06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
STICE et al.) Group Art Unit: 1632
Application No.: 09/394,092). Examiner: D. Crouch
Filed: September 13, 1999) Confirmation No.: 5113
For: CLONING PIGS USING DONOR CI DIFFERENTIATED CELLS (SOMA' PRODUCTION OF PLURIPOTENT	TIC OR GERM CELLS) AND

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NUCLEAR TRANSFER

Sir:

TRANSMITTAL LETTER

Applicants submit a Revocation of Power of Attorney and Grant of New Power of Attorney and associated papers in the above-identified application.

Kindly associate these papers with this application.

Respectfully Submitted,

Dated: November 30, 2006

Salvatore J. Airigd

Reg. No. 46,063

Telephone: 202-408-4160
Facsimile: 202-408-4400
E-mail: arrigos@finnegan.com

PATENT Customer No. 22,852

In the United States Patent and Trademark Office In re Application of: Stice et al. U.S. Application No. 09/394,902 Filed: September 13, 1999 RECEIVED CENTRAL FAX CENTER PUAR 2 0 2007 Examiner: Deborah Crouch

For: Cloning Pigs Using Donor Cells or Nuclei from Differentiated Cells (Somatic or Germ Cells) and Production of Pluripotent Porcine Cells by Nuclear Transfer

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REVOCATION OF POWER OF ATTORNEY AND GRANT OF NEW POWER OF ATTORNEY

The undersigned, a representative authorized to sign on behalf of the assignee owning all of the interest in the application identified above, hereby revokes all previous powers of attorney or authorization of agent granted in the above-identified application before the date of execution hereof.

The undersigned verifies that University of Massachusetts, as represented by its Amherst Campus, is the assignee of the entire right, title, and interest in the application identified above by virtue of assignments recorded at Reel 010732, Frame 0651 (copies attached). The undersigned certifies that the evidentiary documents have been reviewed and to the best of the undersigned's knowledge and belief, title is in the assignee University of Massachusetts, as represented by its Amherst Campus.

The undersigned hereby grants its power of attorney to the patent practitioners associated with FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Customer Number 22,852, to prosecute the above-identified application and to transact all business in the Patent and Trademark Office connected therewith, and to receive the Letters Patent.

Please send all future correspondence concerning this application to Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P., Customer No. 22,852.

Dated: 11-21-06

Name: Nicholas DeCristofaro

Title: Director, Commercial Ventures &

Intellectual Property

University of Massachusetts, as represented by its Amherst Campus



企业化、

United States Department of Commerce 🕟 Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JUNE 27, 2000

PTAS

BURNS, DOANE, SWECKER & MATHIS, L.L.P. ROBIN L. TESKIN P.O. BOX 1404 ALEXANDRÍA, VA 22313-1404



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/06/2000

REEL/FRAME: 010732/0651

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CIBELLI, JOSE

DOC DATE: 09/20/1999

ASSIGNOR:

ROBL, JAMES M.

DOC DATE: 10/05/1999

ASSIGNEE:

UNIVERSITY OF MASSACHUSETTS, A PUBLIC INSTITUTION OF HIGHER EDUCATION OF THE COMMONWEALTH OF MASSACHUSETTS, AS REPRESENTED BY

ITS AMHERST CAMPUS

OFFICE OF VICE CHANCELLOR FOR

RESEARCH AT AMHERST

AMHERST, MASSACHUSETTS 01002

000270-026

Advanced Cell Technology

BURNS, DOANE SWECKER & MATHIS, LLF.

RECEIVED WIF.

DOCKETED ?

SERIAL NUMBER: 09394902

PATENT NUMBER:

FILING DATE: 09/13/1999 ISSUE DATE:



010732/0651 PAGE 2

MARY BENTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

OIPE O				
(Rev. 6/93)	-2000 U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office ET 40055 Attorney's Docket No. 000270-026			
To the Honorable Commissioner of Patents and Trademarks.	Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies): Jose CIBELLI and James M. ROBL Additional name(s) of conveying party(ies) attached? [] Yes [X] No 3. Nature of conveyance: [X] Assignment [] Merger [] Security Agreement [] Change of Name Other: Execution Date: 9/20/99 & 10/5/99, respectively	2. Name and address of receiving party(ies): Name: UNIVERSITY OF MASSACHUSETTS, A PUBLIC INSTITUTION OF HIGHER EDUCATION OF THE COMMONWEALTH OF MASSACHUSETTS, AS REPRESENTED BY ITS AMHERST CAMPUS Address: Office of Vice Chancellor for Research at Amherst. Amherst. Massachusetts 01002 Additional name(s) & sidness(es) snached? [] Yes [X] No			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, th A. Patent Application No.(s) 09/394,902	B, Patent No.(s)			
Additional numbers attached? [] Yes [X] No				
5. : and address of party to whom correspondence concerning near should be mailed: Name: Robin L. Teskin Address: Burns, Doane, Swecker & Mathis, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404	7. Total fee (37 CFR § 3.41): \$_40.00 [X] Enclosed [] Authorized to be charged to deposit account, if necessary 8. Deposit account number:			
24/2000 JSHABAZZ 00000144 09394902	02.4800			
2:581 40.90 D DO NOT USE THIS SPACE				
9. Suprement and signature. To the best of my knowledge and bellef, the foregoing information it true and correct and any attached copy is a true copy of the original document. Robin L. Teskin April 6, 2000				

Mail documents to be recorded with required cover sheet information to:

Commissioner of Fatents and Trademarks Box Assignments Washington, D.C. 2023



000270-026 Attorney's Docket No.

ASSIGNMENT

THIS ASSIGNMENT, by STEVEN L. STICE: JOSE CIBELLI: JAMES M. ROBL: AND PAUL GOLUEKE, residing at 468 AMHERST ROAD, BELCHERTOWN, MA 01007: 166 VILLAGE PARK, AMHERST, MA 01002: 196 OLD ENFIELD, BELCHERTOWN, MA 01007; AND 8 DIANE DRIVE #3, BELCHERTOWN, MA 01007 (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in CLONING PIGS USING DONOR CELLS OR NUCLFI FROM DIFFERENTIATED CELLS (SOMATIC OR GERM CELLS) AND PRODUCTION OF PLURIPOTENT PORCINE CELLS BY NUCLEAR TRANSFER. □ which is a provisional application to be filed herewith; □ which is a non-provisional application having an oath or declaration executed on even date herewith prior to filing of application; В bearing Application No. 09/ ______, and filed on SEPTEMBER 13. 1999; and

WHEREAS, <u>UNIVERSITY OF MASSACHUSETTS</u>, A <u>PUBLIC INSTITUTION OF HIGHER EDUCATION OF THE COMMONWEALTH OF MASSACHUSETTS</u>. AS REPRESENTED BY ITS <u>AMHERST CAMPUS</u>, and having its principal place of business at <u>OFFICE OF VICE CHANCELLOR FOR RESEARCH AT AMHERST</u>, <u>AMHERST</u>, <u>MASSACHUSETTS 01002</u> (hereinafter referred to es "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

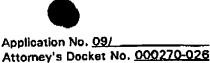
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignae, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said application, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the

Page 1 of 2

(10/97)





Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date	Signature of Assignor _	Steven L. STICE
Date 9/20/99	Signature of Assignor _	Jose CIBELLI
Date	Signature of Assignor _	James M. ROBL
Date	Signature of Assignor _	Paul GOLUEKE





000270-026 Attorney's Docket No.

ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by <u>STEVEN L. STICE: JOSE CIBELLI: JAMES M. ROBL: AND PAUL GOLUEKE</u>, residing at <u>468 AMHERST ROAD</u>, <u>BELCHERTOWN</u>, <u>MA 01007: 166 VILLAGE PARK, AMHERST, MA 01002: 196 OLD ENFIELD, BELCHERTOWN</u>, <u>MA 01007</u>; AND <u>8 DIANE DRIVE #3, BELCHERTOWN</u>, <u>MA 01007</u> (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in CLONING PIGS USING DONOR CELLS OR NUCLEI FROM DIFFERENTIATED CELLS (SOMATIC OR GERM CELLS) AND PRODUCTION OF PLURIPOTENT PORCINE CELLS BY NUCLEAR TRANSFER. □ which is a provisional application to be filled herewith; □ which is a non-provisional application having an oath or declaration executed on even date herewith prior to filing of application; □ bearing Application No. 09/ _____, and filled on SEPTEMBER 13, 1999; and

WHEREAS, <u>UNIVERSITY OF MASSACHUSETTS</u>, A <u>PUBLIC INSTITUTION OF HIGHER</u> <u>EDUCATION OF THE COMMONWEALTH OF MASSACHUSETTS</u>. AS <u>REPRESENTED BY ITS</u> <u>AMHERST CAMPUS</u>, and having its principal place of business at <u>OFFICE OF VICE CHANCELLOR FOR RESEARCH AT AMHERST. MASSACHUSETTS 01002</u> (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said application, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the

Page 1 of 2

(10/97)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER: □ DACK Doty

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.